### b nftext

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07mav10 13:01:44 User233765 Session D204.1
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                    0.247 DialUnits File415
     $0.00 Estimated cost File415
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    $0.03 Estimated cost this search
    $0.03 Estimated total session cost 0.247 DialUnits
SYSTEM:OS - DIALOG OneSearch
 File 35:Dissertation Abs Online 1861-2010/Mar
        (c) 2010 ProOuest Info&Learning
 File
       65:Inside Conferences 1993-2010/May 06
        (c) 2010 BLDSC all rts. reserv.
 File 99:Wilson Appl. Sci & Tech Abs 1983-2010/Mar
        (c) 2010 The HW Wilson Co.
       2:INSPEC 1898-2010/May W1
  File
        (c) 2010 The IET
      2: IPC codes have been added to the file. See HELP NEWS 2
for details.
 File 583: Gale Group Globalbase (TM) 1986-2002/Dec 13
         (c) 2002 Gale/Cengage
*File 583: This file is no longer updating as of 12-13-2002.
 File 474: New York Times Abs 1969-2010/May 07
         (c) 2010 The New York Times
 File 475:Wall Street Journal Abs 1973-2010/May 07
         (c) 2010 The New York Times
 File 347: JAPIO Dec 1976-2010/Jan(Updated 100427)
         (c) 2010 JPO & JAPIO
 File 256:TecTrends 1982-2010/May W1
         (c) 2010 Info.Sources Inc. All rights res.
*File 256: Please see HELP NEWS 256 for the latest
information about TecTrends.
     Set Items Description
```

# ? s (forward (20n) contract) and (security or securities)

```
35: Dissertation Abs Online_1861-2010/Mar
          16516 FORWARD
           7636 CONTRACT
            99 FORWARD (20N) CONTRACT
          17573 SECURITY
          2364 SECURITIES
              8 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
65: Inside Conferences 1993-2010/May 06
          6234 FORWARD
           2363 CONTRACT
             7 FORWARD (20N) CONTRACT
          42813 SECURITY
           871 SECURITIES
             2 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
99: Wilson Appl. Sci & Tech Abs 1983-2010/Mar
          8340 FORWARD
           5077 CONTRACT
            19 FORWARD (20N) CONTRACT
```

```
8428 SECURITY
            493 SECURITIES
               1 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
 2: INSPEC_1898-2010/May W1
         139973 FORWARD
           16066 CONTRACT
            186 FORWARD (20N) CONTRACT
          135639 SECURITY(January 1993)
           2586 SECURITIES
               5 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
583: Gale Group Globalbase(TM)_1986-2002/Dec 13
           10536 FORWARD
         118224 CONTRACT
            201 FORWARD (20N) CONTRACT
           29861 SECURITY
           67110 SECURITIES
               4 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
474: New York Times Abs 1969-2010/May 07
           7108 FORWARD
           39835 CONTRACT
            135 FORWARD (20N) CONTRACT
           63055 SECURITY
           32034 SECURITIES
              0 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
475: Wall Street Journal Abs_1973-2010/May 07
           1344 FORWARD
           39967 CONTRACT
             28 FORWARD (20N) CONTRACT
           12513 SECURITY
           34380 SECURITIES
               0 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
347: JAPIO Dec 1976-2010/Jan(Updated 100427)
         112463 FORWARD
           11899 CONTRACT
             59 FORWARD (20N) CONTRACT
           23230 SECURITY
           1170 SECURITIES
              0 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
256: TecTrends_1982-2010/May W1
            493 FORWARD
            371 CONTRACT
              1 FORWARD (20N) CONTRACT
            3719 SECURITY
            170 SECURITIES
              0 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
TOTAL: FILES 35.65.99 and ...
          303007 FORWARD
          241438 CONTRACT
            735 FORWARD (20N) CONTRACT
         336831 SECURITY
         141178 SECURITIES
            20 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
```

### ? s remarketed (20n) debt

```
35: Dissertation Abs Online 1861-2010/Mar
             0 REMARKETED
           5287 DEBT
              0 REMARKETED (20N) DEBT
 65: Inside Conferences_1993-2010/May 06
             0 REMARKETED
            879 DEBT
              0 REMARKETED (20N) DEBT
 99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
              2 REMARKETED
            585 DEBT
              0 REMARKETED (20N) DEBT
  2: INSPEC_1898-2010/May W1
             3 REMARKETED
           1091 DEBT
              0 REMARKETED (20N) DEBT
583: Gale Group Globalbase(TM)_1986-2002/Dec 13
             13 REMARKETED
          88161 DEBT
              1 REMARKETED (20N) DEBT
474: New York Times Abs_1969-2010/May 07
              2 REMARKETED
          18486 DEBT
              0 REMARKETED (20N) DEBT
475: Wall Street Journal Abs_1973-2010/May 07
              4 REMARKETED
          19556 DEBT
             0 REMARKETED (20N) DEBT
347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
             1 REMARKETED
            245 DEBT
              0 REMARKETED (20N) DEBT
256: TecTrends 1982-2010/May W1
             1 REMARKETED
             79 DEBT
              0 REMARKETED (20N) DEBT
TOTAL: FILES 35,65,99 and ...
             26 REMARKETED
         134369 DEBT
             1 REMARKETED (20N) DEBT
```

### ? s debt (20n) fixed (20n) coupon

```
5 DEBT (20N) FIXED (20N) COUPON
65: Inside Conferences 1993-2010/May 06
            112 COUPON
            879 DEBT
           6652 FIXED
              0 DEBT (20N) FIXED (20N) COUPON
 99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
            131 COUPON
            585 DEBT
          12533 FIXED
              0 DEBT (20N) FIXED (20N) COUPON
 2: INSPEC_1898-2010/May W1
            986 COUPON
           1091 DEBT
          202807 FIXED
             0 DEBT (2011) FIXED (2011) COUPON
583: Gale Group Globalbase(TM) 1986-2002/Dec 13
           2227 COUPON
          24361 FIXED
          88161 DEBT
             15 DEBT (20N) FIXED (20N) COUPON
474: New York Times Abs_1969-2010/May 07
            620 COUPON
           4811 FIXED
          18486 DEBT
              1 DEBT (20N) FIXED (20N) COUPON
475: Wall Street Journal Abs 1973-2010/May 07
            384 COUPON
           2375 FIXED
          19556 DEBT
              0 DEBT (20N) FIXED (20N) COUPON
347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
            245 DEBT
           1176 COUPON
          700838 FIXED
             0 DEBT (20N) FIXED (20N) COUPON
256: TecTrends_1982-2010/May W1
             22 COUPON
             79 DEBT
            408 FIXED
              0 DEBT (20N) FIXED (20N) COUPON
TOTAL: FILES 35,65,99 and ...
         134369 DEBT
         984146 FIXED
           6087 COUPON
            21 DEBT (20N) FIXED (20N) COUPON
```

### ? s debt (20n) fixed (20n) cash (20n) coupon

35: Dissertation Abs Online\_1861-2010/Mar

```
429 COUPON
           4787 CASH
           5287 DEBT
          29361 FIXED
              1 DEBT (20N) FIXED (20N) CASH (20N) COUPON
65: Inside Conferences 1993-2010/May 06
            112 COUPON
            649 CASH
            879 DEBT
           6652 FIXED
              0 DEBT (20N) FIXED (20N) CASH (20N) COUPON
 99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
            131 COUPON
            585 DEBT
           1617 CASH
          12533 FIXED
              0 DEBT (2011) FIXED (2011) CASH (2011) COUPON
 2: INSPEC_1898-2010/May W1
            986 COUPON
           1091 DEBT
           5686 CASH
          202807 FIXED
              0 DEBT (20N) FIXED (20N) CASH (20N) COUPON
583: Gale Group Globalbase(TM)_1986-2002/Dec 13
           2227 COUPON
          24361 FIXED
          43173 CASH
          88161 DEBT
              0 DEBT (20N) FIXED (20N) CASH (20N) COUPON
474: New York Times Abs_1969-2010/May 07
            620 COUPON
           4811 FIXED
          18486 DEBT
          22946 CASH
              0 DEBT (20N) FIXED (20N) CASH (20N) COUPON
475: Wall Street Journal Abs 1973-2010/May 07
            384 COUPON
           2375 FIXED
          17413 CASH
          19556 DEBT
              0 DEBT (20N) FIXED (20N) CASH (20N) COUPON
347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
            245 DEBT
           1176 COUPON
           9935 CASH
          700838 FIXED
              0 DEBT (20N) FIXED (20N) CASH (20N) COUPON
256: TecTrends 1982-2010/May W1
             22 COUPON
             79 DEBT
            409 CASH
            408 FIXED
             0 DEBT (20N) FIXED (20N) CASH (20N) COUPON
```

```
TOTAL: FILES 35,65,99 and ...

134369 DEBT
984146 FIXED
106615 CASH
6087 COUPON
34 1 DEBT (20N) FIXED (20N) CASH (20N) COUPON
```

### ? s periodic (20n) (pay or paid) (20n) interest

```
35: Dissertation Abs Online_1861-2010/Mar
          11846 PERIODIC
           9312 PAY
          12070 PAID
          81625 INTEREST
              0 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
65: Inside Conferences 1993-2010/May 06
           1167 PAY
            236 PAID
           3978 INTEREST
           7677 PERIODIC
              0 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
 99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
           3660 PAY
           2182 PAID
           8066 PERIODIC
          14470 INTEREST
              0 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
 2: INSPEC_1898-2010/May W1
          13093 PAY
          33699 PAID
         192836 INTEREST
         142549 PERIODIC
              9 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
583: Gale Group Globalbase(TM)_1986-2002/Dec 13
            197 PERIODIC
           76408 INTEREST
          62772 PAY
          34626 PAID
              0 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
474: New York Times Abs_1969-2010/May 07
            862 PERIODIC
          55961 INTEREST
          54642 PAY
          21047 PATD
              2 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
475: Wall Street Journal Abs 1973-2010/May 07
            139 PERIODIC
          24393 PAY
           6121 PAID
          34801 INTEREST
              O PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
```

```
Save-2010-05-07 110814
          11485 INTEREST
           5208 PAY
           6119 PAID
          17166 PERIODIC
              0 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
256: TecTrends 1982-2010/May W1
             41 PERIODIC
           1202 INTEREST
           1238 PAY
            543 PAID
              0 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
TOTAL: FILES 35,65,99 and ...
         188543 PERIODIC
         175485 PAY
         116643 PAID
          472766 INTEREST
             11 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
? s periodic (20n) contract (20n) payment
 35: Dissertation Abs Online 1861-2010/Mar
           2650 PAYMENT
           7636 CONTRACT
          11846 PERIODIC
              2 PERIODIC (20N) CONTRACT (20N) PAYMENT
 65: Inside Conferences 1993-2010/May 06
            824 PAYMENT
           2363 CONTRACT
           7677 PERIODIC
              0 PERIODIC (20N) CONTRACT (20N) PAYMENT
 99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
            680 PAYMENT
           5077 CONTRACT
           8066 PERIODIC
              0 PERIODIC (20N) CONTRACT (20N) PAYMENT
 2: INSPEC_1898-2010/May W1
           5886 PAYMENT
```

```
2: INSPEC_1898-2010/May W1
5886 PAYMENT
16066 CONTRACT
142549 PERIODIC
3 PERIODIC (20N) CONTRACT (20N) PAYMENT
583: Gale Group Globalbase(TM)_1986-2002/Dec 13
197 PERIODIC
25728 PAYMENT
118224 CONTRACT
0 PERIODIC (20N) CONTRACT (20N) PAYMENT
474: New York Times Abs_1969-2010/May 07
862 PERIODIC
7558 PAYMENT
39835 CONTRACT
0 PERIODIC (20N) CONTRACT (20N) PAYMENT
475: Wall Street Journal Abs_1973-2010/May 07
```

```
139 PERIODIC
           3817 PAYMENT
          39967 CONTRACT
              0 PERIODIC (20N) CONTRACT (20N) PAYMENT
347: JAPIO Dec 1976-2010/Jan(Updated 100427)
           7915 PAYMENT
          11899 CONTRACT
          17166 PERIODIC
              1 PERIODIC (20N) CONTRACT (20N) PAYMENT
256: TecTrends 1982-2010/May W1
             41 PERIODIC
             371 CONTRACT
            310 PAYMENT
              0 PERIODIC (2011) CONTRACT (2011) PAYMENT
TOTAL: FILES 35,65,99 and ...
         188543 PERIODIC
          241438 CONTRACT
          55368 PAYMENT
              6 PERIODIC (20N) CONTRACT (20N) PAYMENT
? s debt (20n) coupon
 35: Dissertation Abs Online_1861-2010/Mar
            429 COUPON
           5287 DEBT
             25 DEBT (20N) COUPON
 65: Inside Conferences_1993-2010/May 06
            112 COUPON
            879 DEBT
              0 DEBT (20N) COUPON
```

```
99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
            131 COUPON
            585 DEBT
              0 DEBT (20N) COUPON
 2: INSPEC_1898-2010/May W1
            986 COUPON
           1091 DEBT
             8 DEBT (20N) COUPON
583: Gale Group Globalbase(TM)_1986-2002/Dec 13
           2227 COUPON
          88161 DEBT
             88 DEBT (20N) COUPON
474: New York Times Abs_1969-2010/May 07
            620 COUPON
          18486 DEBT
             13 DEBT (20N) COUPON
475: Wall Street Journal Abs_1973-2010/May 07
            384 COUPON
          19556 DEBT
```

30 DEBT (20N) COUPON

```
347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
            245 DEBT
            1176 COUPON
              0 DEBT (20N) COUPON
256: TecTrends 1982-2010/May W1
              22 COUPON
              79 DEBT
              0 DEBT (20N) COUPON
TOTAL: FILES 35.65.99 and ...
         134369 DEBT
           6087 COUPON
           164 DEBT (20N) COUPON
? s call (5n) option
 35: Dissertation Abs Online_1861-2010/Mar
           8691 OPTION
18078 CALL
             203 CALL (5N) OPTION
 65: Inside Conferences_1993-2010/May 06
            2382 OPTION
            2698 CALL
              2 CALL (5N) OPTION
 99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
            3451 OPTION
            3783 CALL
              7 CALL (5N) OPTION
  2: INSPEC 1898-2010/May W1
           21735 OPTION
           53087 CALL
            212 CALL (5N) OPTION
583: Gale Group Globalbase(TM)_1986-2002/Dec 13
           16108 OPTION
           25159 CALL
            293 CALL (5N) OPTION
474: New York Times Abs 1969-2010/May 07
           5089 OPTION
           31879 CALL
             42 CALL (5N) OPTION
475: Wall Street Journal Abs_1973-2010/May 07
            3165 OPTION
            6347 CALL
             41 CALL (5N) OPTION
347; JAPIO Dec 1976-2010/Jan(Updated 100427)
           3376 OPTION
           65328 CALL
             26 CALL (5N) OPTION
```

256: TecTrends\_1982-2010/May W1

749 OPTION

```
913 CALL
               2 CALL (5N) OPTION
TOTAL: FILES 35,65,99 and ...
         207272 CALL
          64746 OPTION
           828 CALL (5N) OPTION
? s securitiz???? (20n) (sell (5n) common (5n) (stock or securit????))
 35: Dissertation Abs Online 1861-2010/Mar
           1964 SELL
           93413 COMMON
           12293 STOCK
           19706 SECURIT????
              46 SECURITIZ????
               0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
                 SECURIT????))
 65: Inside Conferences_1993-2010/May 06
             168 SELL
           13001 COMMON
5728 STOCK
           44427 SECURIT????
              10 SECURITIZ????
               0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
                  SECURIT????))
 99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
             3 SECURITIZ????
            1865 SELL
           18266 COMMON
            2829 STOCK
            8923 SECURIT????
               0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
                 SECURIT????))
  2: INSPEC_1898-2010/May W1
             14 SECURITIZ????
            4288 SELL
          230631 COMMON
           31719 STOCK
          137791 SECURIT????
               O SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
                 SECURIT????))
583: Gale Group Globalbase(TM) 1986-2002/Dec 13
           16243 COMMON
           82445 SELL
           70294 STOCK
           96489 SECURIT????
            114 SECURITIZ????
               0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
                 SECURIT????))
474: New York Times Abs 1969-2010/May 07
```

14 SECURITIZ???? 26550 COMMON

```
34105 SELL
           78010 STOCK
           94528 SECURIT????
              0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
                 SECURIT????))
475: Wall Street Journal Abs 1973-2010/May 07
          18122 COMMON
           27890 SELL
           46624 SECURIT????
           92804 STOCK
             130 SECURITIZ????
              0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
                 SECURIT????))
347: JAPIO Dec 1976-2010/Jan(Updated 100427)
            1582 SELL
          122222 COMMON
           24218 SECURIT????
           41200 STOCK
              18 SECURITIZ????
              0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
                 SECURIT????))
256: TecTrends_1982-2010/May W1
              0 SECURITIZ????
            1027 SELL
            1226 COMMON
             600 STOCK
            3871 SECURIT????
              0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
                 SECURIT????))
TOTAL: FILES 35,65,99 and ...
            349 SECURITIZ????
          155334 SELL
          539674 COMMON
          335477 STOCK
          476577 SECURIT????
              0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
                 SECURIT????))
? s pd>20021007
Processing
Processing
Processing
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35: Dissertation Abs Online_1861-2010/Mar
>>>Prefix "PD" is undefined
              0 PD>20021007
65: Inside Conferences_1993-2010/May 06
>>>Prefix "PD" is undefined
              0 PD>20021007
99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
         537388 PD>20021007
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- 2: INSPEC\_1898-2010/May W1 3634427 PD>20021007
- 583: Gale Group Globalbase(TM)\_1986-2002/Dec 13 44550 PD>20021007
- 474: New York Times Abs\_1969-2010/May 07 574000 PD>20021007
- 475: Wall Street Journal Abs\_1973-2010/May 07 282203 PD>20021007
- 347: JAPIO\_Dec 1976-2010/Jan(Updated 100427) 2446774 PD>20021007
- 256: TecTrends\_1982-2010/May W1 25015 PD>20021007
- TOTAL: FILES 35,65,99 and ... S10 7544357 PD>20021007

### ? s au=jones, phil

- 35: Dissertation Abs Online\_1861-2010/Mar 0 AU=JONES, PHIL
- 65: Inside Conferences\_1993-2010/May 06 0 AU=JONES, PHIL
- 99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar 10 AU=JONES, PHIL
- 2: INSPEC\_1898-2010/May W1 0 AU=JONES, PHIL
- 583: Gale Group Globalbase(TM)\_1986-2002/Dec 13 >>>Prefix "AU" is undefined
  - 0 AU=JONES, PHIL
- 474: New York Times Abs\_1969-2010/May 07 0 AU=JONES, PHIL
- 475: Wall Street Journal Abs\_1973-2010/May 07 0 AU=JONES. PHIL
- 347: JAPIO\_Dec 1976-2010/Jan(Updated 100427)
  0 AU=JONES, PHIL
- 256: TecTrends\_1982-2010/May W1 0 AU-JONES, PHIL
- TOTAL: FILES 35,65,99 and ... S11 10 AU-JONES, PHIL

### ? s au=jones, Philip

- 35: Dissertation Abs Online\_1861-2010/Mar 1 AU=JONES, PHILIP
- 65: Inside Conferences\_1993-2010/May 06 0 AU=JONES, PHILIP
- 99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar 4 AU=JONES, PHILIP
- 2: INSPEC\_1898-2010/May W1
- 0 AU=JONES, PHILIP
- 583: Gale Group Globalbase(TM)\_1986-2002/Dec 13 >>>Prefix "AU" is undefined
  - 0 AU=JONES, PHILIP
- 474: New York Times Abs\_1969-2010/May 07 1 AU=JONES, PHILIP
- 475: Wall Street Journal Abs\_1973-2010/May 07 0 AU=JONES, PHILIP
- 256: TecTrends\_1982-2010/May W1 0 AU=JONES, PHILIP
- TOTAL: FILES 35,65,99 and ... S12 6 AU=JONES, PHILIP

### ? s au=schoen. Karen

- 35: Dissertation Abs Online\_1861-2010/Mar 0 AU=SCHOEN, KAREN
- 65: Inside Conferences\_1993-2010/May 06
  0 AU=SCHOEN, KAREN
- 99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar 0 AU=SCHOEN, KAREN
- 2: INSPEC\_1898-2010/May W1
  0 AU=SCHOEN, KAREN
- 583: Gale Group Globalbase(TM)\_1986-2002/Dec 13
  >>>Prefix "AU" is undefined

  0 AU=SCHOEN, KAREN

0 AU-SCHOEN, KAREN

0 AU-SCHOEN, KAREN

- 474: New York Times Abs\_1969-2010/May 07
- 475: Wall Street Journal Abs 1973-2010/May 07
- 347: JAPIO\_Dec 1976-2010/Jan(Updated 100427)
  0 AU=SCHOEN, KAREN
- 256: TecTrends 1982-2010/May W1

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0 AU=SCHOEN, KAREN
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TOTAL: FILES 35,65,99 and ...
S13 0 AU=SCHOEN, KAREN
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### ? s au=schoen, k

- 35: Dissertation Abs Online\_1861-2010/Mar 0 AU=SCHOEN, K
- 65: Inside Conferences\_1993-2010/May 06 0 AU=SCHOEN, K
- 99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar 1 AU=SCHOEN, K
- 2: INSPEC\_1898-2010/May W1
  - O AU=SCHOEN, K
- 583: Gale Group Globalbase(TM)\_1986-2002/Dec 13
  >>>Prefix "AU" is undefined

  0 AU=SCHOEN, K
- 474: New York Times Abs\_1969-2010/May 07 0 AU=SCHOEN, K
- 475: Wall Street Journal Abs\_1973-2010/May 07 0 AU=SCHOEM, K
- 347: JAPIO\_Dec 1976-2010/Jan(Updated 100427) 0 AU=SCHOEN, K
- 256: TecTrends\_1982-2010/May W1 0 AU=SCHOEN, K
- TOTAL: FILES 35,65,99 and ... S14 1 AU=SCHOEN, K

### ? s s3 and s7

- 35: Dissertation Abs Online\_1861-2010/Mar
  - 5 S3
  - 25 87
  - 5 S3 AND S7
- 65: Inside Conferences\_1993-2010/May 06
  - 0 s7
  - 0 \$3
  - 0 S3 AND S7
- 99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar
  - 0 s7
  - 0 S3 0 S3 AND S7
- 2: INSPEC\_1898-2010/May W1

```
0 S3
              8 S7
0 S3 AND S7
583: Gale Group Globalbase(TM)_1986-2002/Dec 13
             15 S3
             88 S7
              7 S3 AND S7
474: New York Times Abs_1969-2010/May 07
              1 53
             13 S7
              1 S3 AND S7
475: Wall Street Journal Abs_1973-2010/May 07
              0 $3
             30 S7
              0 S3 AND S7
347: JAPIO_Dec 1976-2010/Jan(Updated 100427)
              0 S7
              0 S3
              0 S3 AND S7
256: TecTrends_1982-2010/May W1
              0 s7
              0 S3
              0 S3 AND S7
TOTAL: FILES 35,65,99 and ...
             21 53
            164 S7
            13 S3 AND S7
    S15
? s s6 and s7
 35: Dissertation Abs Online_1861-2010/Mar
             2 S6
             25 S7
              0 S6 AND S7
 65: Inside Conferences_1993-2010/May 06
              0 S7
              0 36
              0 S6 AND S7
 99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
              0 S7
              0 56
              0 S6 AND S7
  2: INSPEC 1898-2010/May W1
              3 S6
              8 S7
```

0 56 AND \$7 583: Gale Group Globalbase(TM)\_1986-2002/Dec 13 0 56 88 57

```
0 S6 AND S7
```

0 S6 AND S7

475: Wall Street Journal Abs\_1973-2010/May 07

0 S6 30 S7

0 S6 AND S7

347: JAPIO\_Dec 1976-2010/Jan(Updated 100427)

0 S7 1 S6

0 S6 AND S7

256: TecTrends\_1982-2010/May W1

0 S7

0 S6 AND S7

TOTAL: FILES 35,65,99 and ...

6 S6 164 S7

\$16 0 \$6 AND \$7

### ? s s3 and s8

35: Dissertation Abs Online\_1861-2010/Mar

5 S3 203 S8

0 S3 AND S8

65: Inside Conferences\_1993-2010/May 06

0 S3 2 S8

0 S3 AND S8

99: Wilson Appl. Sci & Tech Abs\_1983-2010/Mar

0 S3 7 S8

0 S3 AND S8

2: INSPEC\_1898-2010/May W1

0 S3 212 S8

0 S3 AND S8

583: Gale Group Globalbase(TM)\_1986-2002/Dec 13 15 S3

15 S3 293 S8

0 S3 AND S8

474: New York Times Abs\_1969-2010/May 07

1 S3

42 S8

0 S3 AND S8

```
475: Wall Street Journal Abs_1973-2010/May 07
             0 S3
             41 S8
              0 S3 AND S8
347: JAPIO Dec 1976-2010/Jan(Updated 100427)
             0 S3
             26 S8
              0 S3 AND S8
256: TecTrends_1982-2010/May W1
              0 53
              2 58
              0 S3 AND S8
TOTAL: FILES 35,65,99 and ...
             21 53
            828 58
    S17
         0 S3 AND S8
2 s s9 not s10
 35: Dissertation Abs Online 1861-2010/Mar
              0 59
              0 S10
              0 S9 NOT S10
 65: Inside Conferences 1993-2010/May 06
              0 59
              0 S10
              0 S9 NOT S10
 99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
             0 59
         537388 $10
              0 S9 NOT S10
 2: INSPEC_1898-2010/May W1
             0 59
        3634427 S10
              0 S9 NOT S10
583: Gale Group Globalbase(TM) 1986-2002/Dec 13
              0 S9
          44550 S10
              0 S9 NOT S10
474: New York Times Abs_1969-2010/May 07
             0 S9
         574000 S10
              0 S9 NOT S10
475: Wall Street Journal Abs 1973-2010/May 07
             0 59
          282203 S10
              0 S9 NOT S10
347: JAPIO Dec 1976-2010/Jan(Updated 100427)
              0 59
```

2446774 S10 0 S9 NOT S10

256: TecTrends\_1982-2010/May W1 0 S9 25015 S10 0 S9 NOT S10

TOTAL: FILES 35,65,99 and ... 0 59

7544357 S10 S18 0 S9 NOT S10

### ? **ds**

Set		Items	Description
	35	8	
	65	2	
	99	1	
	2	5	
	583	4	
	474	0	
	475	0	
	347	0	
	256	0	
S1		20	(FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
	35	0	
	65	0	
	99	0	
	2	0	
	583	1	
	474	0	
	475	0	
	347	0	
	256	0	
S2		1	REMARKETED (20N) DEBT
	35	5	
	65	0	
	99	0	
	2	0	
	583	15	
	474	1	
	475	0	
	347	0	
	256	0	
S3		21	DEBT (20N) FIXED (20N) COUPON
	35	1	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
	256	0	
S4		1	DEBT (20N) FIXED (20N) CASH (20N) COUPON
	35	0	
	65	0	
	99	0	

```
2
               9
     583
               0
     474
               2
     475
               0
     347
               0
     256
               0
S5
              11 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
     35
               2
     65
               0
     99
               0
      2
               3
     583
               0
     474
               0
     475
               0
     347
               1
     256
               0
S6
               6 PERIODIC (20N) CONTRACT (20N) PAYMENT
     35
              25
     65
               0
      99
               0
      2
               8
     583
              88
     474
              13
              30
     475
              0
     347
     256
              0
              164 DEBT (20N) COUPON
s7
     35
              203
     65
              2
     99
               7
      2
              212
     583
             293
     474
              42
     475
              41
     347
              26
     256
              2
S8
             828 CALL (5N) OPTION
     35
              0
      65
               0
      99
               0
      2
               0
     583
               0
               0
     474
     475
               0
     347
               - 0
              0
     256
s9
              0
                  SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
               SECURIT????))
     35
               0
      65
               0
     99
          537388
      2 3634427
     583
           44550
     474
         574000
     475
          282203
     347 2446774
     256
           25015
S10
          7544357 PD>20021007
     35
              0
      65
              0
              10
      99
      2
              0
```

```
583
        0
    474
            0
    475
            0
    347
             0
    256
             0
S11
             10 AU=JONES, PHIL
    35
             1
     65
             0
     99
             4
     2
             0
    583
            0
    474
             1
    475
             0
    347
             0
    256
             0
S12
             6 AU=JONES, PHILIP
    35
             0
     65
             0
     99
             0
     2
             0
    583
             0
    474
             0
    475
             0
    347
             0
    256
             0
S13
            0 AU=SCHOEN, KAREN
    35
            0
     65
             0
     99
             1
     2
             0
    583
            0
    474
            0
    475
            0
    347
             0
    256
             0
S14
             1 AU=SCHOEN, K
    35
             5
     65
             0
     99
             0
     2
             0
    583
             7
             1
     474
    475
             0
    347
             0
    256
             0
S15
             13 S3 AND S7
    35
             0
     65
             0
     99
             0
     2
             0
    583
            0
    474
            0
    475
             0
    347
             0
    256
            0
S16
            0 S6 AND S7
    35
             0
     65
             0
     99
             0
     2
             0
    583
             0
    474
            0
```

```
475
              0
     347
             0
     256
              0
              0 S3 AND S8
S17
     35
              0
     65
              0
     99
              0
      2
              0
     583
             0
     474
             0
     475
             0
     347
             0
             0
    256
S18
              0 S9 NOT S10
```

```
2 s s15 not s10
 35: Dissertation Abs Online_1861-2010/Mar
              5 315
              0 $10
              5 S15 NOT S10
65: Inside Conferences 1993-2010/May 06
              0 S15
              0 $10
              0 S15 NOT S10
 99: Wilson Appl. Sci & Tech Abs_1983-2010/Mar
             0 S15
         537388 S10
             0 S15 NOT S10
 2: INSPEC_1898-2010/May W1
             0 S15
        3634427 S10
              0 S15 NOT S10
583: Gale Group Globalbase(TM)_1986-2002/Dec 13
             7 815
          44550 S10
              7 S15 NOT S10
474: New York Times Abs 1969-2010/May 07
              1 315
         574000 S10
              1 S15 NOT S10
475: Wall Street Journal Abs_1973-2010/May 07
             0 815
         282203 S10
              0 S15 NOT S10
347: JAPIO Dec 1976-2010/Jan(Updated 100427)
             0 S15
        2446774 S10
             0 S15 NOT S10
```

256: TecTrends\_1982-2010/May W1 0 S15

```
25015 S10 0 S15 NOT S10

TOTAL: FILES 35,65,99 and ...
13 S15
7544357 S10
S19 13 S15 NOT S10
```

### ? rd

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>>>Duplicate detection is not supported for File 347.
>>>Records from unsupported files will be retained in the RD set.
520 13 RD (unique items)
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### 2 t /6.k/all

20/6,K/1 (Item 1 from file: 35)

DIALOG(R)File 35: Dissertation Abs Online

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02112417 ORDER NO: AADAA-IMR07189
Pricing convertible bonds using partial differential equations

Year: 2005

A Convertible Bond (CB) is a corporate **debt** security that gives the holder the right to exchange future **coupon** payments and principal repayment for a prescribed number of shares of equity. Thus, it has both an equity part and a **fixed**-income part, and may contain some additional features, such as callability and puttability.

In this ...

20/6,K/2 (Item 2 from file: 35)

DIALOG(R)File 35: Dissertation Abs Online

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01144981 ORDER NO: AAD91-05096

AN INVESTIGATION OF CORPORATE BORROWING STRATEGIES (DEBT MATURITY, FIXED RATE DEBT, FLOATING RATE DEBT)

Year: 1990

...that when insiders have private information about the value of the firm, the choice between fixed and floating rate debt can convey information about the quality of the firm. The differential implications of fixed and floating rate debt on the magnitude of agency cost of debt entail that it is sometimes feasible to signal favorable inside

information by selecting the **coupon** strategy with the largest expected costs. The model predicts that, ceteris paribus, an increase in interest rate volatility increases the number of high quality firms that signal with **fixed** rate **debt**. The model also predicts that cross-sectionally, the larger the covariance between interest rates and...

20/6,K/3 (Item 3 from file: 35)
DIALOG(R)File 35; Dissertation Abs Online
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01122917 ORDER NO: AAD90-30390 AN ANALYSIS OF CONVERTIBLE DEBT; THEORY AND EVIDENCE (DEBT)

Year: 1990

...is shown that straight debt and equity represent the opposite ends of the spectrum of fixed payment obligations incurred by a firm, and are issued by the most superior and most inferior types of firms respectively in a fully separating equilibrium. Convertible debt on the other hand permits a tradeoff between the fixed payment obligation and the equity component, and is issued by intermediate types of firms. Among the issuers of convertible debt, the better firms select higher coupon and conversion price to signal their relative superiority.

Empirical testing of the theoretical model is conducted on a sample of 372 underwritten, public issues of convertible debt during 1970-1986. Standard event-study methodology confirms the results of earlier authors that convertible.....size of the issuer, or years to maturity. The total abnormal return attributable to convertible debt financing is positively related to the coupon rate and conversion price. This supports the hypothesis that there is a signalling motive to...

20/6,K/4 (Item 4 from file; 35)
DIALOG(R)File 35: Dissertation Abs Online
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845438 ORDER NO: AAD84-12976 AN INVESTIGATION INTO CORPORATE DEBT POLICY

Year: 1984

...firm's capital-structure reflects a balancing trade-off between the tax-deductibility advantage of **coupon**-bearing **debt** and the costs generated by bankruptcy.

Three variations of bankruptey costs are tested in this.....higher capital intensive firms have lower bankruptey costs and can support comparatively higher proportions of debt; (ii) secondly, corporate debt secured by fixed assets of the firm reflects a possible transfer of wealth from unsecured creditors to secured debtholders; and (iii) there is a positive net tax-advantage of coupon-bearing debt.

On a negative note, our cross sectional tests fail to identify any interaction between financing...

20/6,K/5 (Item 5 from file: 35)

DIALOG(R)File 35: Dissertation Abs Online

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784817 ORDER NO; AAD82-18471

IMPACTS OF CHANGING INFLATION EXPECTATIONS ON WEALTH TRANSFERS, INVESTMENT BEHAVIOR. AND VALUATION

Year: 1982

...on long-term debt, preferred stock, depreciation, and growth in depreciable assets. The long-term debt and depreciation variables are measured using a variant of the concept of weighted average term to maturity or duration. The life of depreciable assets is estimated from net fixed assets and current depreciation charges. For outstanding debt coupon rates, amounts, and maturity dates are taken from 10K's and annual reports.

Using data...

20/6,K/6 (Item 1 from file: 583)

DIALOG(R)File 583: Gale Group Globalbase(TM)

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09543648

CapitaLand selling \$200m of bonds

SINGAPORE: CAPITALAND TO RAISE FUNDS THROUGH BONDS  $13\,\mathrm{Jun}\,2001$ 

...by Fitch, while the remaining have lower ratings, ranging from "AA" to "BBB". The "AAA" **debt** will have a **fixed coupon** rate of 3.71%, while the remaining will have coupons of between 3.83% to...

20/6.K/7 (Item 2 from file: 583)

DIALOG(R)File 583: Gale Group Globalbase(TM)

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09494265

GM issues \$100m in Sing-dollar five-year bonds

SINGAPORE: SINGAPORE DOLLAR BOND BY GM

24 Mar 2001

...which will be underwritten and lead-managed by Citicorp Investment

Bank (Singapore). The five-year fixed-rate bonds will be launched through a funding subsidiary of GM, General Motors Acceptance Corp.....fisrt Singapore-dollar bond issue for GM, while the bonds will also boost Singapore's debt market. The bonds will have a coupon rate of 4.12% which will be navable two times a year. GM will also...

20/6,K/8 (Item 3 from file; 583) DIALOG(R)File 583; Gale Group Globalbase(TM) (c) 2002 Gale/Cengage, All rights reserved.

09473400

Three-Year Bonds

CHINA: CHINA DEVELOPMENT BANK PLANS BOND ISSUE  $28\ {\rm Feb}\ 2001$ 

...worth of 3-year bonds would be issued by China Development Bank on the interbank debt market. According to Financial News' release, a competitive bidding procedure would be carried out to determine the bond's fixed coupon rate.

20/6,K/9 (Item 4 from file: 583) DIALOG(R)File 583: Gale Group Globalbase(TM) (c) 2002 Gale/Cengage. All rights reserved.

09427623

Voksel terbitkan convertible bond US\$27,97 juta

INDONESIA: VOKSEL TO ISSUE BONDS IN JUNE 2001  $16\ \mathrm{Dec}\ 2000$ 

...of 12.5%, the bonds to be floated will be part of the firm's debt realignment scheme, noted Voksel's finance director, Rusmin Cahyadi. Other plans proposed under Voksel's debt realignment scheme to the Indonesian Bank Restructuring Agency (IBRA) include issuing a 0.5% annual fixed interest coupon and realigning the US\$ 25-mn debts into a eight-year loan. Voksel owed some...

20/6,K/10 (Item 5 from file: 583) DIALOG(R)File 583: Gale Group Globalbase(TM) (c) 2002 Gale/Cengage. All rights reserved.

09201215

RCL plans issue

## THAILAND: RCL TO ISSUE B 1 BN DEBENTURES

24 Nov 1999

...able to raise around B 950 mn via the debentures to repay part of its debt, finance its expansion plans and as working capital. The debentures will have a five-year maturity and the coupon rate will be fixed for the first three years between 8.375-9.125%.

20/6,K/11 (Item 6 from file: 583) DIALOG(R)File 583: Gale Group Globalbase(TM) (c) 2002 Gale/Cengage. All rights reserved.

05124359

Companies stir a sleepy corner as they search for the Holy/

UK - COMPANIES STIR A SLEEPY CORNER AS THEY SEARCH FOR THE HOLY/ 10 June 1992

...first UK non-bank company to raise equity capital like this.

Preference shares pay a fixed coupon like a bond but rank below all debt holders in the event of winding up. Interest payments can be suspended if the company...

20/6,K/12 (Item 7 from file: 583) DIALOG(R)File 583: Gale Group Globalbase(TM) (c) 2002 Gale/Cengage. All rights reserved.

04128177

### HALIFAX IN GERMAN MARKS MTN PROGRAMME

UK - HALIFAX IN GERMAN MARKS MTN PROGRAMME 5 March 1991

...arranged by Deutsche Bank, with Deutsche Bank and WestLB acting as dealers. Under the programme, fixed-rate, zero coupon notes and floating-rate notes with 2-30 years maturities can be issued by the Halifax. Halifax's debt ratings are AA, according to Standard & Poor's, and AA1, according to Moody's.

20/6,K/13 (Item 1 from file; 474) DIALOG(R)File 474: New York Times Abs (c) 2010 The New York Times. All rights reserved.

00090303 NYT Sequence Number: 015898701120 (Asst Labor Sec Weidenbaum, holding rising wage costs are now major force

pushing up prices, contends Govt should make 'conscious effort' to create climate in which more reasonable wage-price decisions could be made, s, Natl Regulatory Utility Comrs Assn meeting; predicts unemployment will be higher than anticipated if wage-push inflation continues; says new technique of auctioning securities with fixed coupon, tried successfully earlier this mo, could become fairly routine method for issuance of new coupon securities; says Treas will be able to repay 'some' debt in 1st half of '71 even if fiscal '71 deficit is as high as \$15-billion, s, Las Vegas; says that in current 1/2 yr ending Dec 31, Treas estimates that deficit will be about \$16-billion; por)

Friday November 20 1970

...higher than anticipated if wage-push inflation continues; says new technique of auctioning securities with fixed coupon, tried successfully earlier this mo, could become fairly routine method for issuance of new coupon securities; says Treas will be able to repay 'some' debt in 1st half of '71 even if fiscal '71 deficit is as high as \$15...

### ? ds

Set	File	Items	Description
	35	8	
	65	2	
	99	1	
	2	5	
	583	4	
	474	0	
	475	0	
	347	0	
	256	0	
Sl		20	(FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
	35	0	
	65	0	
	99	0	
	2	0	
	583	1	
	474	0	
	475	0	
	347	0	
	256	0	
S2		1	REMARKETED (20N) DEBT
	35	5	
	65	0	
	99	0	
	2	0	
	583	15	
	474	1	
	475	0	
	347	0	
	256	0	
S3		21	DEBT (20N) FIXED (20N) COUPON
	35	1	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	

```
347
             0
             0
    256
9.4
             1 DEBT (20N) FIXED (20N) CASH (20N) COUPON
     35
              0
     65
             0
     99
             0
     2
              9
     583
              0
     474
             2
     475
             0
    347
             0
     256
             0
S5
             11 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
     35
              2
     65
              0
     99
              0
     2
              3
     583
              0
     474
              0
     475
              0
     347
              1
     256
             0
S6
             6 PERIODIC (20N) CONTRACT (20N) PAYMENT
     35
            25
     65
             0
     99
             0
     2
             8
     583
            88
     474
            13
     475
            30
    347
             0
    256
             0
S7
            164 DEBT (20N) COUPON
     35
            203
     65
             2
     99
              7
     2
            212
     583
            293
     474
             42
             41
     475
     347
            26
     256
            828 CALL (5N) OPTION
s8
             0
             0
     65
     99
              0
     2
             0
     583
             0
     474
             0
     475
             0
    347
             0
     256
             0
s9
             0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
             SECURIT????))
     3.5
             0
     65
              0
         537388
     99
     2 3634427
     583
          44550
     474 574000
     475
         282203
     347 2446774
```

	256	25015	
S10		7544357	PD>20021007
	35	0	
	65	0	
	99	10	
	2	0	
	583 474	0	
	474	0	
	347	0	
	256	0	
S11	230	10	AU=JONES, PHIL
	35	1	no combo, rare
	65	0	
	99	4	
	2	0	
	583	0	
	474	1	
	475	0	
	347	0	
	256	0	
S12		6	AU=JONES, PHILIP
	35	0	
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	0	
S13	256	0	AU=SCHOEN, KAREN
513	35	0	AU=SCHOEN, KAREN
	65	0	
	99	1	
	2	0	
	583	ő	
	474	0	
	475	0	
	347	0	
	256	0	
S14		1	AU=SCHOEN, K
	35	5	
	65	0	
	99	0	
	2	0	
	583	7	
	474	1	
	475	0	
	347	0	
S15	256	0 13	S3 AND S7
212	35	13	53 AND 57
	65	0	
	99	0	
	2	0	
	583	0	
	474	0	
	475	0	
	347	ő	
	256	0	
S16		o	S6 AND S7

```
35
               0
      65
               0
     99
               0
      2
               0
     583
               0
     474
               0
     475
               0
               0
     347
     256
               0
S17
               0 S3 AND S8
     35
               0
     65
               0
     99
               0
      2
               0
     583
               0
     474
               0
     475
               0
     347
               0
     256
               0
S18
               0
                  S9 NOT S10
     35
               5
     65
               0
               0
     99
      2
               0
               7
     583
     474
               1
     475
               0
     347
               0
               0
     256
S19
               13 S15 NOT S10
     35
               5
     65
               0
     99
               0
      2
               0
     583
               7
     474
               1
     475
               0
     347
               0
               0
     256
S20
              13
                  RD (unique items)
```